

# Understanding the Uncertainty Loop of Human-Robot Interaction

Jan Leusmann  
LMU Munich  
Munich, Germany  
jan.leusmann@ifi.lmu.de

Chao Wang  
Honda Research Institute Europe  
Offenbach, Germany  
chao.wang@honda-ri.de

Michael Gienger  
Honda Research Institute Europe  
Offenbach, Germany  
michael.gienger@honda-ri.de

Albrecht Schmidt  
LMU Munich  
Munich, Germany  
albrecht.schmidt@ifi.lmu.de

Sven Mayer  
LMU Munich  
Munich, Germany  
info@sven-mayer.com

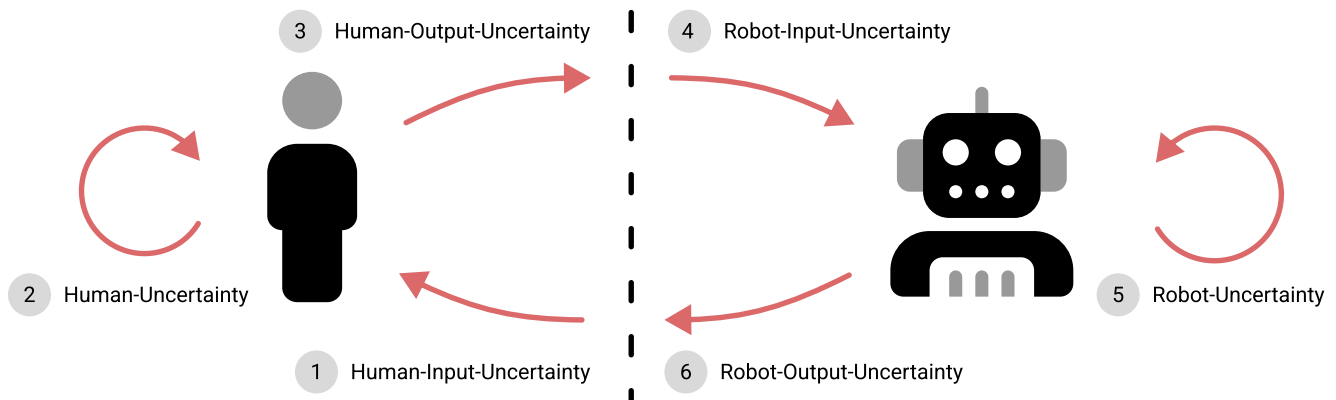


Figure 1: The Human-Robot Uncertainty Loop.

## ABSTRACT

Recently the field of Human-Robot Interaction gained popularity due to the wide range of possibilities of how robots can support humans during daily tasks. One such form is supportive robots, socially assistive robots built explicitly for communicating with humans, e.g., as service robots or personal companions. As they understand humans through artificial intelligence, these robots can sometimes make wrong assumptions about the humans' current state and give an unexpected response. In human-human conversations, unexpected responses happen frequently. However, it is currently unclear how such robots should act if they understand that human did not expect their response or even show the uncertainty of their response in the first place. For this, we explore the different forms of potential uncertainties during human-robot conversations and how humanoids can communicate these uncertainties through verbal and non-verbal cues.

## CCS CONCEPTS

• **Human-centered computing** → **Human computer interaction (HCI)**; • **Computer systems organization** → **Robotics**.

## KEYWORDS

human-robot interaction, uncertainty

## 1 INTRODUCTION

Robots are becoming a more and more ubiquitous part of our lives. While already being used in industry to perform repetitive tasks or tasks that humans are not capable of, they are recently evolving into increasingly sophisticated and integrated systems. Due to the emergence of artificial intelligence (AI) technologies, robots can perform increasingly complex tasks with the ability to react to observed environmental actions. In the future domestic robots will actively support users in their homes with various tasks.

Currently, the field of social assistive robots (SARs) is developing rapidly. These robots are mostly designed to provide support and assistance to humans in fields like healthcare [8], education [27], and social interactions [12]. In all these contexts, the SARs are able to detect users' questions or actions and react in a certain way to them. However, as these reactions are model-based, the detected user input classification accuracy can be low or even wrong. Hence, the interactions from the SARs usually happen with measurable certainty. However, errors can also happen even though the accuracy of the predicted action is high, e.g., when the users themselves give miss-interpretable prompts. Uncertainty happens regularly in human-human interaction. Humans can express this uncertainty easily through verbal and non-verbal communication cues [21]. Thus, for fluid interaction with these robots, they must be able to deal with uncertainty from both the users and themselves. On the one hand, it is crucial that users can interpret verbal and non-verbal interaction cues correctly and map them toward the certainty of the robots. On the other hand, robots need to be able to interpret



This work is licensed under a Creative Commons Attribution-ShareAlike 4.0 International License.

the users' uncertainty, understand uncertainty, and also to be able to express uncertainty.

In this work, we explore and compare the various kinds of uncertainty in human-robot interaction (HRI). Previous work found that robot uncertainty should be communicated transparently to users [23]. Various machine learning (ML) models are able to classify human uncertainty [9, 11, 17, 19]. As there are different possible uncertainties during human-robot interaction, we first look at each step of the interaction loop in detail. We then discuss the advantages and disadvantages of these cues being human-like.

## 2 THE HUMAN-ROBOT UNCERTAINTY LOOP

We propose that this interaction concept can be envisioned as an uncertainty loop, see Figure 1. In the following, we state our explanations and findings for each of the six steps of this loop.

### 1 Human-Input-Uncertainty

*The ability of humans to understand the uncertainty expressed by the robot.* The mental model of humans of a robot's understanding of the environment is generally connected to their own knowledge [20]. As collaborative robots are still a relatively new research topic, most humans' trust, and therefore, certainty or understanding of robots is still low [33]. Thus, if users do not understand the actions performed by a robot, they cannot reliably predict the outcome of this action. Humanoid robots mimicking human cues in human-human interaction can increase this understanding through, e.g., eye contact or showing engagement when handing over an object [16]. Non-humanoid robots can also try to mimic human-like movements. Robots can also show their intent through different output channels [2, 7]. Dragan et al. [10] proposed that robot motion can be made legible and, thus, predictable, which is a crucial part of seamless collaborative human-robot interaction.

### 2 Human-Uncertainty

*Humans' intrinsic uncertainty about their own actions.* Humans are naturally prone to make mistakes, unpredictable decisions, or change their minds [3]. When sure about something, confidence in one's own decisions is higher [25]. However, especially in unknown scenarios, humans' intrinsic uncertainty is higher [22] due to the lack of information. In our HRI scenario, most humans still need to learn how to interact with robots correctly and how to understand their actions.

### 3 Human-Output-Uncertainty

*The ability of humans to express uncertainty.* Humans use verbal and non-verbal cues to express their level of certainty, both when speaking and body language when performing an action. Often we use prosodic cues, like filler words, to express uncertainty. Brennan and Williams [5] showed that others could make reasonable estimations about the uncertainty level of the speaker. However, verbal uncertainty cues are overshadowed by non-verbal cues [4]. For example, non-verbal cues to show uncertainty include shrugging, frowning, hesitating, or palm-up gestures [15].

### 4 Robot-Input-Uncertainty

*The ability of the robot to understand the uncertainty expressed by the human.* With this, we describe how AI systems can measure the uncertainty level of users. Literature suggests using facial action units [9], EEG analysis during visual decision-making [17], detection of non-verbal gestures [11], or brain states [19] to detect human uncertainty. If the robot, especially a SAR, detects high uncertainty in a user prompt, it can react in certain ways by asking questions to make the user more certain or by giving reassuring cues. For reinforcement learning approaches, we can also use the level of uncertainty as a metric for the agent [28]. In general, detecting the uncertainty levels of humans is possible. We noted that as uncertainty detection is also done with several ML models, they also have internal uncertainty. Humans are able to react to the expression of the uncertainties of others. Robots should also be able to react to and express uncertainty to enable fluid human-robot interaction. However, we do not perceive robots as other humans. Thus, we need to determine whether robots should express a deep knowledge about the users' uncertainty level.

### 5 Robot-Uncertainty

*The uncertainty of the robot to understand the world accurately.* This describes the robots' or AI systems' own level of uncertainty. In contrast to human uncertainty, this can be directly "measured," as it is just the prediction accuracy of an action. Earlier, this was done with essential machine learning approaches [1, 6, 35]. Today, mainly deep neural networks are used [34, 36]. Moreover, although today's models are already way more accurate at classifying human actions, models are still prone to misclassifications. They also do not know every single human action but are usually trained on a specific subset of actions. Trick et al. [31] combined classification results from different input data sources (speech, gesture, gaze, and objects) to reduce uncertainty in an intention recognition task for collaborative assistive robots. Humans gain a world understanding through experiences. Reinforcement learning can resemble this for AI agents.

### 6 Robot-Output-Uncertainty

*The ability of the robot to express uncertainty.* Same as human-output-uncertainty, this describes how the robot can express the level of uncertainty of their upcoming interaction. Again this interaction can be either verbal or non-verbal. However, the cues could mimic human behavior or be totally artificial. For example, we can use facial expressions to convey emotional states; thus, we can also use them to show uncertainty. Gestures or movements, in general, can also be used to convey certainty. For example, Hough and Schlangen [18] mapped the confidence of robot decision onto its movement speed. They found that users are able to understand the level of certainty of the robot. We can also use the tone and pitch of artificially generated voices of robots to convey uncertainty [29]. However, robots can also come in non-humanoid shapes, e.g., a robotic arm can assist with kitchen tasks [26]. Here, we can leverage artificially created gestures or output modalities like light to convey goals or uncertainty [2, 7]. For instance, Wang et al. [32] used an augmented reality representation to indicate future actions.

### 3 DISCUSSION

In this work, we investigate uncertainty in the context of HRI. In this context, both humans and robots can be uncertain about their decisions. Humans are often intrinsically unsure about their decisions and, thus, uncertain. Robots, or AI systems in general, base their decision on classification accuracies - which can range from low to high values. We can neither influence human uncertainty levels nor assure that classification models are always right, especially in classifying human behavior, where there is underlying uncertainty. With our proposed human-robot uncertainty loop (see Figure 1), however, we can look at various parts of this process in greater detail and better understand how we can influence the robots' behavior to improve interaction when either the human or robot has a high level of uncertainty.

In human-human interaction, uncertainty is often expressed and also understood subconsciously. Using prosodic cues is not done actively, but using filler words typically indicates higher uncertainty, e.g., in a presentation. Additionally, not only as a speaker but also as a listener, we usually only notice the filler words once someone makes us aware of them. With Duplex, Google developed a natural conversation agent, which among other things, used filler words to sound more natural<sup>1</sup>. However, Mori et al. [24] argued that this level of an AI agent being able to act very human-like was in the uncanny valley. Thepsonthorn et al. [30] propose similar findings for non-verbal behavioral cues.

In general, it would be obvious to let humanoid robots express uncertainty similar to humans. However, research suggests it might be unsuitable in at least some cases [13, 14]. In other cases, it is impossible to use human-like cues, especially if the robot is not humanoid. Nevertheless, even in these cases, we are good at understanding non-verbal cues and translating them towards certain emotional states, e.g., understanding R2-D2 or BB-8 from Star Wars, even though their non-verbal cues are very simplistic. Together with these findings, we propose investigating different kinds of verbal and non-verbal cues for steps 4, 5, and 6 from Section 2. Here, we need to consider that by mimicking humans too much; users will perceive robots quickly as uncanny.

### 4 SUMMARY

In this work, we propose the human-robot uncertainty loop to look at uncertainty during this interaction in a more fine granular way. Both humans and robots can be uncertain about their world understanding and correctly understanding their conversation partner. We found that robots have two options for giving behavioral cues regarding uncertainty. First, reacting to humans' uncertainty and second, conveying their own uncertainty. Both of these can be human-like or artificially generated. On the one hand, we need to investigate which, if, and how humans understand these cues conveying uncertainty. On the other hand, we also need to measure whether users find human-like cues uncanny, as previous research suggests. By understanding uncertainty better, especially when and how to react to human and robot uncertainty, with verbal and non-verbal cues, we can ensure a more explainable and understandable interaction.

### REFERENCES

- [1] David W. Albrecht, Ingrid Zukerman, Ann E. Nicholson, and Ariel Bud. 1997. Towards a Bayesian Model for Keyhole Plan Recognition in Large Domains. In *User Modeling (International Centre for Mechanical Sciences)*. Springer, Vienna, 365–376. [https://doi.org/10.1007/978-3-7091-2670-7\\_37](https://doi.org/10.1007/978-3-7091-2670-7_37)
- [2] Svante Augustsson, Jonas Olsson, Linn Gustavsson Christiernin, and Gunnar Bolmsjö. 2014. How to Transfer Information between Collaborating Human Operators and Industrial Robots in an Assembly. In *Proceedings of the 8th Nordic Conference on Human-Computer Interaction: Fun, Fast, Foundational (NordCHI '14)*. Association for Computing Machinery, New York, NY, USA, 286–294. <https://doi.org/10.1145/2639189.2639243>
- [3] Amy Bland and Alexandre Schaefer. 2012. Different Varieties of Uncertainty in Human Decision-Making. *Frontiers in Neuroscience* 6 (2012).
- [4] Joan Borràs-Comes and Paolo Roseano. 2011. Perceiving Uncertainty: Facial Gestures, Intonation, and Lexical Choice. (2011).
- [5] Susan E. Brennan and Maurice Williams. 1995. The Feeling of Another's Knowing: Prosody and Filled Pauses as Cues to Listeners about the Metacognitive States of Speakers. *Journal of Memory and Language* 34, 3 (June 1995), 383–398. <https://doi.org/10.1006/jmla.1995.1017>
- [6] Hung H. Bui, Svetha Venkatesh, and Geoff West. 2002. Policy Recognition in the Abstract Hidden Markov Model. *Journal of Artificial Intelligence Research* 17 (Dec. 2002), 451–499. <https://doi.org/10.1613/jair.839> arXiv:1106.0672 [cs]
- [7] Ravi Teja Chadalavada, Henrik Andreasson, Robert Krug, and Achim J. Lilienthal. 2015. That's on My Mind! Robot to Human Intention Communication through on-Board Projection on Shared Floor Space. In *European Conference on Mobile Robots (ECMR)*. IEEE, New York, NY, USA, 1–6. <https://doi.org/10.1109/ECMR.2015.7403771>
- [8] S. Cooper, A. Di Fava, Ó. Villacañas, T. Silva, V. Fernandez-Carbajales, L. Unzueta, M. Serras, L. Marchionni, and F. Ferro. 2021. Social robotic application to support active and healthy ageing. In *2021 30th IEEE International Conference on Robot & Human Interactive Communication (RO-MAN)*. 1074–1080. <https://doi.org/10.1109/RO-MAN50785.2021.9515432>
- [9] Ronald Cumbal, José Lopes, and Olov Engwall. 2020. Uncertainty in Robot Assisted Second Language Conversation Practice. In *Companion of the 2020 ACM/IEEE International Conference on Human-Robot Interaction (HRI '20)*. Association for Computing Machinery, New York, NY, USA, 171–173. <https://doi.org/10.1145/3371382.3378306>
- [10] Anca D. Dragan, Kenton C.T. Lee, and Siddhartha S. Srinivasa. 2013. Legibility and Predictability of Robot Motion. In *2013 8th ACM/IEEE International Conference on Human-Robot Interaction (HRI)*. IEEE, Tokyo, Japan, 301–308. <https://doi.org/10.1109/HRI.2013.6483603>
- [11] Nuno Ferreira Duarte, Konstantinos Chatzilygeroudis, Jose Santos-Victor, and Aude Billard. 2020. From Human Action Understanding to Robot Action Execution: How the Physical Properties of Handled Objects Modulate Non-Verbal Cues. In *2020 Joint IEEE 10th International Conference on Development and Learning and Epigenetic Robotics (Valparaiso, Chile) (ICDL-EpiRob)*. IEEE, New York, NY, USA, 1–6. <https://doi.org/10.1109/ICDL-EpiRob48136.2020.9278084>
- [12] David Feil-Seifer and Maja J. Mataric. 2009. Toward Socially Assistive Robotics for Augmenting Interventions for Children with Autism Spectrum Disorders. In *Experimental Robotics (Springer Tracts in Advanced Robotics)*, Oussama Khatib, Vijay Kumar, and George J. Pappas (Eds.). Springer, Berlin, Heidelberg, 201–210. [https://doi.org/10.1007/978-3-642-00196-3\\_24](https://doi.org/10.1007/978-3-642-00196-3_24)
- [13] Jesse Fox and Andrew Gambino. 2021. Relationship Development with Humanoid Social Robots: Applying Interpersonal Theories to Human-Robot Interaction. *Cyberpsychology, Behavior, and Social Networking* 24, 5 (May 2021), 294–299. <https://doi.org/10.1089/cyber.2020.0181>
- [14] Jean-Christophe Giger, Nuno Piçarra, Patricia Alves-Oliveira, Raquel Oliveira, and Patricia Arriaga. 2019. Humanization of Robots: Is It Really Such a Good Idea? *Human Behavior and Emerging Technologies* 1, 2 (April 2019), 111–123. <https://doi.org/10.1002/hbe2.147>
- [15] David B. Givens. 2006. *The Nonverbal Dictionary of Gestures, Signs & Body Language Cues*. David B. Givens.
- [16] Elena Corina Grigore, Kerstin Eder, Anthony G. Pipe, Chris Melhuish, and Ute Leonards. 2013. Joint Action Understanding Improves Robot-to-Human Object Handover. In *2013 IEEE/RSJ International Conference on Intelligent Robots and Systems*. IEEE, Tokyo, 4622–4629. <https://doi.org/10.1109/IROS.2013.6697021>
- [17] Vadim V. Grubov, Alexander A. Kuc, and Vladimir A. Maksimenko. 2022. Frequency-Space Features of EEG Activity during Decision-Making Task with Uncertainty. In *Computational Biophysics and Nanobiophotonics*, Boris N. Khebtsov and Dmitry E. Postnov (Eds.). SPIE, Saratov, Russian Federation, 39. <https://doi.org/10.1117/12.2626570>
- [18] Julian Hough and David Schlangen. 2017. It's Not What You Do, It's How You Do It: Grounding Uncertainty for a Simple Robot. In *Proceedings of the 2017 ACM/IEEE International Conference on Human-Robot Interaction (HRI '17)*. Association for Computing Machinery, New York, NY, USA, 274–282. <https://doi.org/10.1145/2909824.3020214>

<sup>1</sup><https://ai.googleblog.com/2018/05/duplex-ai-system-for-natural-conversation.html>

- [19] Alexander E. Hramov, Nikita S. Frolov, Vladimir A. Maksimenko, Vladimir V. Makarov, Alexey A. Koronovskii, Juan Garcia-Prieto, Luis Fernando Antón-Toro, Fernando Maestú, and Alexander N. Pisarchik. 2018. Artificial Neural Network Detects Human Uncertainty. *Chaos: An Interdisciplinary Journal of Nonlinear Science* 28, 3 (March 2018), 033607. <https://doi.org/10.1063/1.5002892>
- [20] Sau-lai Lee, Ivy Yee-man Lau, S. Kiesler, and Chi-Yue Chiu. 2005. Human Mental Models of Humanoid Robots. In *Proceedings of the 2005 IEEE International Conference on Robotics and Automation*. IEEE, New York, NY, USA, 2767–2772. <https://doi.org/10.1109/ROBOT.2005.1570532>
- [21] Nikolaos Mavridis. 2015. A Review of Verbal and Non-Verbal Human–Robot Interactive Communication. *Robotics and Autonomous Systems* 63 (Jan. 2015), 22–35. <https://doi.org/10.1016/j.robot.2014.09.031>
- [22] Thomas W. Milburn and Robert S. Billings. 1976. Decision-making perspectives from psychology: Dealing with risk and uncertainty. *American Behavioral Scientist* 20 (1976), 111–126. <https://doi.org/10.1177/000276427602000107>
- [23] AJung Moon and Boyd Panton. 2010. Using Hesitation Gestures for Safe and Ethical Human-Robot Interaction. (2010), 3.
- [24] Masahiro Mori, Karl F. MacDorman, and Norri Kageki. 2012. The Uncanny Valley [From the Field]. *IEEE Robotics & Automation Magazine* 19, 2 (June 2012), 98–100. <https://doi.org/10.1109/MRA.2012.2192811>
- [25] Joaquin Navajas, Chandni Hindocha, Hebah Foda, Mehdi Keramati, Peter E. Latham, and Bahador Bahrami. 2017. The Idiosyncratic Nature of Confidence. *Nature Human Behaviour* 1, 11 (Nov. 2017), 810–818. <https://doi.org/10.1038/s41562-017-0215-1>
- [26] Carl Oechsner, Sven Mayer, and Andreas Butz. 2022. Challenges and Opportunities of Cooperative Robots as Cooking Appliances. In *Engaging with Automation - Understanding and Designing for Operation, Appropriation, and Behaviour Change (AutomationXP 2022)*. ceur-ws.org, 7. <https://ceur-ws.org/Vol-3154/paper14.pdf>
- [27] Irena Papadopoulou, Runa Lazzarino, Syed Miah, Tim Weaver, Bernadette Thomas, and Christina Koulouglioti. 2020. A systematic review of the literature regarding socially assistive robots in pre-tertiary education. *Computers & Education* 155 (2020), 103924. <https://doi.org/10.1016/j.compedu.2020.103924>
- [28] Lisa Scherf, Cigdem Turan, and Dorothea Koert. 2022. Learning from Unreliable Human Action Advice in Interactive Reinforcement Learning. In *2022 IEEE-RAS 21st International Conference on Humanoid Robots (Humanoids)*. IEEE, Ginowan, Japan, 895–902. <https://doi.org/10.1109/Humanoids53995.2022.10000078>
- [29] Eva Szekely, Joseph Mendelson, and Joakim Gustafson. 2017. *Synthesising Uncertainty: The Interplay of Vocal Effort and Hesitation Disfluencies*. 808 pages. <https://doi.org/10.21437/Interspeech.2017-1507>
- [30] Chidchanok Thepsoonthorn, Ken-ichiro Ogawa, and Yoshihiro Miyake. 2021. The Exploration of the Uncanny Valley from the Viewpoint of the Robot’s Nonverbal Behaviour. *International Journal of Social Robotics* 13, 6 (Sept. 2021), 1443–1455. <https://doi.org/10.1007/s12369-020-00726-w>
- [31] Susanne Trick, Dorothea Koert, Jan Peters, and Constantin A. Rothkopf. 2019. Multimodal Uncertainty Reduction for Intention Recognition in Human-Robot Interaction. In *2019 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)*. IEEE, 7009–7016. <https://doi.org/10.1109/IROS40897.2019.8968171> arXiv:1907.02426 [cs, stat]
- [32] Chao Wang, Anna Belardinelli, Stephan Hasler, Theodoros Stouraitis, Daniel Tanneberg, and Michael Gienger. [n. d.]. Explainable Human-Robot Training and Cooperation with Augmented Reality. In *Proceedings of the 42st ACM Conference on Human Factors in Computing Systems Extended Abstract (CHI EA’23)*. Association for Computing Machinery, New York, NY, USA. <https://doi.org/10.1145/3544549.3583889>
- [33] Auriel Washburn, Akanimoh Adeleye, Thomas An, and Laurel D. Riek. 2020. Robot Errors in Proximate HRI: How Functionality Framing Affects Perceived Reliability and Trust. *ACM Transactions on Human-Robot Interaction* 9, 3 (May 2020), 19:1–19:21. <https://doi.org/10.1145/3380783>
- [34] Mengmeng Xu, Chen Zhao, David S. Rojas, Ali Thabet, and Bernard Ghanem. 2020. G-TAD: Sub-Graph Localization for Temporal Action Detection. In *2020 IEEE/CVF Conference on Computer Vision and Pattern Recognition (CVPR)*. IEEE, Seattle, WA, USA, 10153–10162. <https://doi.org/10.1109/CVPR42600.2020.01017>
- [35] Gang Yu and Junsong Yuan. 2015. Fast Action Proposals for Human Action Detection and Search. In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*. 1302–1311.
- [36] Hong-Bo Zhang, Yi-Xiang Zhang, Bineng Zhong, Qing Lei, Lijie Yang, Ji-Xiang Du, and Duan-Sheng Chen. 2019. A Comprehensive Survey of Vision-Based Human Action Recognition Methods. *Sensors* 19, 5 (Jan. 2019), 1005. <https://doi.org/10.3390/s19051005>